CERTIFICATE OF MAILING

I hereby exhifty that this correst dence is being deposited with the U.S. Postal Service with sufficient postal as first claratial in an envelope addressed to: Assistant Commissioner for Patents, Washing 20231, on 25 January 2007

Wendy Scott

(Typed or Printed Name of Person Mailing Paper or Fee)

DSW

Attorney Docket No.: SUN-P9327-MEG

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Inventor:

Marc Tremblay, et al.

Title:

SELECTIVELY MONITORING LOADS TO SUPPORT TRANSACTIONAL

PROGRAM EXECUTION

Filing Date:

08 August 2003

Serial Number:

(Signature of Person Mailing Paper or Fee

10/637,168

Group Art Unit:

2188

Examiner:

Patel, Kaushikkumar M.

Listed below or on an attached Form PTO-1449 is information known to applicant(s) and submitted pursuant to 37 C.F.R. §1.56. A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- __ (1) It is being filed within 3 months of the application filing date.
- -- OR -
 (2) It is being filed within 3 months of entry of a national stage.
- -- OR -
 (3) It is being filed before the mail date of the first Office Action on the merits.
- __ (4) It is being filed before the mail date of a first Office action after the filing of a request for continued examination under 37 C.F.R. §1.114

- X 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
 - X a certification as specified in §1.97(e) is provided below; or
 - a fee of \$180.00 as set forth in \$1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
 - 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
 - A. a certification as specified in §1.97(e) is completed below; and
 - B. a fee of \$180.00 as set forth in \$1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
 - This statement is being filed after the payment of the issue fee but before issuance of the patent.
- X Statement under 37 C.F.R. 1.97(e) I hereby certify that either: each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was know to any individual designated in 1.56(c) more than three months prior to the filing of the information disclosure statement.

gnature:

Shun Yao (Reg. No. 59,242)

Date 25 January 2007

Respectfully submitted,

By: _

Shun Yao

Reg. No. 59,242

PARK, VAUGHAN & FLEMING LLP

2820 Fifth Street

Davis, CA 95618-7759

Telephone (530) 759-1667

Facsimile (530) 759-1665 Email: shun@parklegal.com

Date: 25 January 2007

SHEET 1 OF 1

| INFOI | RMATION DI | ATTY. DOCKET NO. SUN-P9327-MEG | | APPLICATION NO. 10/637,168 | | | |
|--------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|-------------------------------|------------------------|-----------------|-------|
| | CITATIO | APPLICANT Marc Tremblay, et al. | | | | | |
| PTO-1449 JAN 2.9 2007 | | | FILING DATE 08 August 2003 | | GROUP ART UNIT 2188 | | |
| U.S. PATENT DO | CUMENTS | THE STATE OF THE S | | | · | | |
| EXAMINER'S INITIALS | PATENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING DATE | |
| | | | | | · | _ | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| EXAMINER'S INITIALS | PATENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
| | | | | | | Yes | No |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | OTHER DOCUM | ENTS (Including Au | thor, Title, Date, I | ertinent P | ages, Etc.) | | |
| "Lock-Based Programs and Transactional Lock-Free Execution", by Ravi Rajwar et al., Universit | | | | | | | rsity |
| of Wisconsin-Madison Technical Report #1440, April 2002. | | | | | | | |
| | "Speculative Locks for Concurrent Execution of Critical Sections in Shared-Memory | | | | | | |
| | Multiprocessors", by Jose F Martinez et al., Workshop on Memory Performance Issues, International Symposium on Computer Architecture, June 2001. | | | | | | |
| "Transactional Memory: Architectural Support for Lock-Free Data Structures", by Maurice Herlihy. | | | | | | | |
| | "Speculative Locks for Concurrent Execution of Critical Sections in Shared-Memory Multiprocessors", by Jose F. Martinez et al., Technical Report UIUCDCS-R-2001-2202, February 2001. | | | | | | |
| | "Transactional Lock the Tenth Internation | -Free Execution of Lo nal Conference on Arc Oct. 6-Oct. 9, 2002, Sa | hitectural Support | s", by Ravi for Program | Rajwar, Proce | edings oges and | of |
| EXAMINER | | | DATE CONSIDERED | | | | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.